Phylogenomic Systematics of Ostariophysan Fishes: Ultraconserved Elements Support the Surprising Non-Monophyly of Characiformes

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Abstract—Ostariophysi is a superorder of bony fishes including more than 10,300 species in 1100 genera and 70 families. This superorder is traditionally divided into five major groups (orders): Gonorynchiformes (milkfishes and sandfishes), Ctenopharyngidae (carps and minnows), Characiformes (characins), and Gymnotiformes (electric knifefishes). Unambiguous resolution of the relationships among these lineages remains elusive, with previous molecular and morphological analyses failing to produce a consensus phylogeny. In this study, we use over 350 ultraconserved element (UCE) loci comprising 5 million base pairs collected across 35 representative ostariophysan species to compile one of the most data-rich phylogenies of fishes to date. We use these data to infer hierarchical (interordinal) relationships among ostariophysan fishes, focusing on the monophyly of the Characiformes—one of the most contentiously debated groups in fish systematics. As with most previous molecular studies, we recover a non-monophyletic Characiformes with the two monophyletic suborders, Citharinoides and Characoides, more closely related to other ostariophysan clades than to each other. We also explore incongruence between results from different UCE data sets, issues of orthology, and the use of morphological characters in combination with our molecular data. [Conserved sequence; ichthyology; massively parallel sequencing; morphology; next-generation sequencing; UCEs.]

A number of large (multi-taxon) studies covering significant portions of the Tree of Life of fishes have been published during the last few years, including those focusing on diversification and morphological evolution (Rahosky et al. 2013), feeding innovations (Wainwright et al. 2013), and fossil records (Friedman and Sallan 2012), and acanthomorph (spiny-rayed fish) lineages (Wainwright et al. 2012; Near et al. 2012, 2013; Betancur-R et al. 2013; Thacker et al. 2015). Paralleling these analyses have been advances in technologies dealing with sequence data, including next-generation sequencing techniques (also called massively parallel sequencing) (Crawford et al. 2012; Faircloth et al. 2012, 2014; McCormack et al. 2012; Wagner et al. 2013; Smith et al. 2014), but few phylogenies using these data-rich approaches have focused on higher level relationships of fishes (Faircloth et al. 2013; Eytan et al. 2015; Harrington et al. 2016; Stout et al. 2016; Arcila et al. 2017). The essence of these new technologies is that they greatly reduce barriers to data collection that hamper traditional (Sanger) approaches and offer the potential to collect data from at least an order of magnitude more loci. In this study, we use a phylogenomic approach to examine one of the most difficult problems in systematic ichthyology: resolution of relationships among major ostariophysan lineages.

Ostariophysi consists of over 10,300 currently recognized species comprising roughly 30% of all known bony fish species, 75% of all freshwater fish species, and approximately one-sixth of all vertebrate species (Eschmeyer and Fong 2015; Nelson 2006; Van Der Laan et al. 2014). Ostariophysi includes some of the smallest known vertebrates, with adult sizes of about 1 mm (e.g., Paedocypris progenetica), and some of the largest known fishes including the enormous Mekong giant catfish (Pangasianodon gigas) 2.7 m in length and weighing 300 kg and perhaps the even larger Siamese giant barb (Catlocarpio siamensis). The superorder also includes the electric catfishes (Malapteruridae) and electric knifefishes (Gymnotiformes), most famously the electric eel (Electrophorus electricus), and well-known apex predators such as piranhas (Serrasalmidae) in South America and tigerfishes (Alestidae) in Africa. Other ostariophysi species include Cypriniformes such as the common goldfish (Carassius auratus), carp and koi (Cyprinus carpio), and the well-studied zebrafish (Danio rerio). The monophyly of this notably widespread, species rich, and morphologically diverse group has been supported by studies using morphological (Greenwood et al. 1972; Rosen and Greenwood 1972; Rosen 1973; Novacek and Marshall 1976; Fink and Fink 1981, 1996) and traditional DNA sequence
data (Ortí and Meyer 1996; Lavoué et al. 2005; Li et al. 2008; Poulsen et al. 2009; Broughton 2010; Nakatani et al. 2011; Near et al. 2012; Betancur-R et al. 2013; Broughton et al. 2013). The incredible species richness of Ostariophysi, particularly in freshwaters, has been linked to a number of key traits. All ostariophysans share a specialized modification of the anterior vertebrae and surrounding tissues called the Weberian apparatus (Berg 1912; Rosen and Greenwood 1970). These structures transmit sound waves from the swim bladder to the inner ear, greatly enhancing sensitivity to acoustic information (Braun and Grande 2008) and increasing the hearing abilities of many species to levels on par with, or even exceeding, those of humans and other mammals (Yan et al. 2000).

Most ostariophysans also emit an alarm substance, called schreckstoff, produced in specialized club cells in the skin (Frisch 1942; Chivers et al. 2007). This alarm substance is important in social communication and predator avoidance, and together with enhanced auditory acuity, has been considered an innovation underlying the successful radiation of ostariophysans (Helfman et al. 2009).

Ostariophysi is currently classified into five orders (Nelson 2006; Eschmeyer and Fong 2015): Gonorynchiformes (milkfishes and sandfishes; 57 species); Siluriformes (catfishes; ~3700 species); Characiformes (tetas, piranhas, and allies; ~2100 species); Gymnotiformes (electric eel and knifefishes; 225 species); and Cypriniformes (carps and minnows; ~4262 species). Unfortunately, there is no consensus of the evolutionary relationships between these major ostariophysan lineages, and different studies have recovered almost every possible arrangement of relationships among the Gymnotiformes, Siluriformes, and the two suborders of Characiformes; together the three orders are called the Characiphy (Figs. 1 and 2).

For example, and perhaps most notably, many features of the passive electoreceptive system of Gymnotiformes and Siluriformes support their inclusion in a clade known as Siluriphysi (Fink and Fink 1996; Fig. 1). This relationship is morphologically supported by ultrastructural, chemical, functional, and embryological aspects of the low frequency-sensitive, ampullary-shaped electoreceptor organs in the skin; the uniquely laminated medullary electro sensory lateral line lobe; and other structures of the peripheral and central nervous systems (see reviews in Albert 2001; Albert et al. 1998; Liu et al. 2016). Newly discovered losses of SWS1 and SWS2 opsin genes and receptor proteins are also Siluriphysi synapomorphies (Liu et al. 2016). However, this clade has never been recovered in a published molecular phylogeny (Ortí and Meyer 1996, 1997; Nakatani et al. 2011; Chen et al. 2013, among others).

Resolving the relationship between the two suborders of Characiformes (viz., Citharinoidei, Characoidei) has been equally contentious. Characiformes is composed of Neotropical (14 families, 1400+ species) and African (4 families, 200+ spp.) lineages united by seven putatively unreversed osteological synapomorphies (Fink and Fink 1981, 1996). In addition to anatomical similarities, strong similarities in body shape and ecology have led most ichthyologists to the hypothesis that Characiformes is monophyletic—even among those who recovered Characiformes as non-monophyletic in their own studies (Nakatani et al. 2011; Ortí and Meyer 1997). Despite multiple molecular investigations, only three molecular phylogenies sampling at least two individuals from each suborder have recovered a monophyletic Characiformes: (i) Betancur-R et al. (2013) who included only two members of Citharinoidei; (ii) Oliveira et al. (2011) who did not sample any closely related ostariophysans (only cypriniform outgroups)—effectively forcing the monophyly of Characiformes; and (iii) Arcila et al. (2017) who recovered monophetic Characiformes using exons and a novel approach for data selection and signal parsing. A clear understanding of the evolutionary relationships of major characiform lineages is critical because Characiformes represents one of the few well-supported examples of Gondwanan fragmentation in fish biogeography; the origin of Characiformes has been hypothesized to precede the mid-Cretaceous fragmentation of Gondwana (Lundberg 1993; Buckup 1998; Malabarba and Malabarba 2010; Arroyave et al. 2013; Kappas et al. 2016), yet lack of phylogenetic resolution has hindered our understanding of how geological events shaped the early radiation of the group.

In this study, we analyze ultraconserved elements (UCEs) using concatenated maximum-likelihood (ML), concatenated Bayesian, and multispecies coalescent approaches to infer the major relationships among ostariophysans, with a particular focus on testing the hypothesis of characiform monophyly. We also use these data to explore how morphological and molecular data, in combination, can influence phylogenetic results in the era of phylogenomic approaches.
FIGURE 2. A review of previously recovered hypotheses of Characiphysian relationships. Note the Fink and Fink (1981) phylogeny was recently also recovered by Arcila et al. (2017) using a phylogeny of protein-coding genes (see text).

MATERIALS AND METHODS

Morphological Data

Binary-coded character states were transcribed for 124 characters from the morphological data presented in Fink and Fink (1981). The original authors scored multiple characters from different anatomical systems that include the neurocranial (15), orbital region (3), suspensorium (17), oral jaws (9), gill arches (8), gas bladder (4), anterior vertebrae (37), pectoral girdle (5), pectoral fins (2), dorsal fin, anal fin, and fin supports (4), caudal fin and supports (8), fin spines (1). Fink and Fink (1981) classified 11 additional characters as miscellaneous, and these included states such as alarm substances, nuptial tubercles, and electroreception. Fink and Fink (1996) excluded one character in subsequent analyses, the condition of the posterior occipital margin (character number 14) based on an expanded survey of species, and we exclude this character as well. The final binary character matrix consisted of 127 characters, and full character descriptions are provided in Fink and Fink (1981, 1996).

A phylogeny was inferred from these morphological data using RAxML 8.0.19 (Stamatakis 2014) and the BINGAMMA model. We conducted 20 ML searches for the phylogenetic tree that best fit the data, and we assessed support for these relationships by generating and analyzing nonparametric bootstrap replicates of the input data using the autoMRE function of RAxML. Following convergence of the bootstrap replicates, we reconciled the best-fitting ML tree with the bootstrap replicates. The best-fit ML tree was rooted with the designated outgroup taxon scoring (i.e., all "0" outgroup), as presented in Fink and Fink (1981).

A Bayesian phylogenetic analysis was performed with the morphological data set using MrBayes 3.2.2 (Ronquist et al. 2012). Characters were treated as standard using the Mk model of character evolution. MrBayes was run for 1.0 x 10^6 generations (four chains per run; burn-in: 25%; thinning = 500). Convergence was assessed by visually inspecting the potential scale reduction factors and the average deviation of the split frequencies output from MrBayes. We also visually examined traces and Effective Sample Sizes (ESS) values for estimated parameters using Tracer v1.6 (Rambaut et al. 2014). We constructed majority-rule consensus trees and the posterior probabilities of nodes in the phylogeny using the sample of trees following burn-in and thinning.

UCEs Data Capture

A targeted sequencing approach was used to collect phylogenomic data from UCE loci (Faircloth et al. 2012, 2013) across a taxon sample spanning ostariophysans. Specifically, we sampled taxa widely across families to select those that best represented each of the orders while also having tissues available from vouched museum specimens to avoid misidentifications (Table 1). In order to robustly test the monophyly of the Characiformes, our taxon sampling included representatives of several families from the two major characiform lineages (i.e., Cicharinoidei and Characoidei), with thorough coverage of African families (e.g., Citharinidae, Alestidae,
Hepsetidae, and Distichodontidae), which were underrepresented in all previous studies. Our focus was on having deep sampling of characiphans. Among the Characoidi, 6 of 21 families were sampled, including both African and Neotropical members representing all the major clades, and also including those sampled in previous studies by Chen et al. (2013) and Nakatani et al. (2011). Both families of Citharinoidei were sampled for the major clades, and also including those sampled in previous studies by Arroyave et al. (2013).

**TABLE 1. Scientific name, catalog number of specimen, and locality information for ostariophysan species enriched for UCEs.**

<table>
<thead>
<tr>
<th>Scientific name</th>
<th>Group represented</th>
<th>Voucher catalog number</th>
<th>Locality</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brycinus macrolepidotus</td>
<td>Characiformes (Alestidae)</td>
<td>AMNH 259010 (AMCC 236014)</td>
<td>Guinea</td>
</tr>
<tr>
<td>Bryconmethys yacuri</td>
<td>Characiformes (Alestidae)</td>
<td>AMNH 253563 (Tissue # 1-005-6485)</td>
<td>Democratic Republic of Congo</td>
</tr>
<tr>
<td>Astyanax anaes</td>
<td>Characiformes (Characidae)</td>
<td>AMNH 243512 (Tissue # 1-030-2974)</td>
<td>Honduras</td>
</tr>
<tr>
<td>Citharurus gibbosus</td>
<td>Characiformes (Citharinidae)</td>
<td>AMNH 246931 (Tissue # 1-016-9626)</td>
<td>Panama</td>
</tr>
<tr>
<td>Ctenolucius hami</td>
<td>Characiformes (Ctenolucidae)</td>
<td>AMNH 253909 (Tissue # 1-088-8738)</td>
<td>Republic of Congo</td>
</tr>
<tr>
<td>Distichodus hypomotusss</td>
<td>Characiformes (Distichodontidae)</td>
<td>AMNH 252806 (Tissue # 1-080-7944)</td>
<td>Democratic Republic of Congo</td>
</tr>
<tr>
<td>Distichodus maculatus</td>
<td>Characiformes (Distichodontidae)</td>
<td>AMNH 245975 (Tissue # 1-016-9626)</td>
<td>Cameroon</td>
</tr>
<tr>
<td>Nanmeadiops utinamaius</td>
<td>Characiformes (Distichodontidae)</td>
<td>AMNH 242511 (Tissue # 1-034-3330)</td>
<td>Democratic Republic of Congo</td>
</tr>
<tr>
<td>Xenornhysinus crassus</td>
<td>Characiformes (Distichodontidae)</td>
<td>AMNH 242511 (Tissue # 1-034-3330)</td>
<td>Democratic Republic of Congo</td>
</tr>
<tr>
<td>Hoplias malabaricus</td>
<td>Characiformes (Erythrinae)</td>
<td>AMNH 253966 (AMCC 255905)</td>
<td>Vietnam</td>
</tr>
<tr>
<td>Heporhyne lineata</td>
<td>Characiformes (Hepsetidae)</td>
<td>AMNH 13950 (Tissue # 260)</td>
<td>Louisiana</td>
</tr>
<tr>
<td>Chlorocinclus denti</td>
<td>Characiformes (Chlorocinidae)</td>
<td>AMNH 13694 (Tissue # 294)</td>
<td>Panama</td>
</tr>
<tr>
<td>Dorosoma petenense</td>
<td>Characiformes (Chlorophryinae)</td>
<td>AMNH 13314 (Tissue # 17)</td>
<td>Taiwan</td>
</tr>
<tr>
<td>Thraus hamiltoni</td>
<td>Characiformes (Ingiaulidae)</td>
<td>AMNH 13429 (Tissue # 989)</td>
<td>Louisiana</td>
</tr>
<tr>
<td>Malpawonus pellucidus</td>
<td>Characiformes (Cytipinidae)</td>
<td>AMNH 14182 (Tissue # 872)</td>
<td>Vietnam</td>
</tr>
<tr>
<td>Puntipinnus fofiter</td>
<td>Characiformes (Cyprinidae)</td>
<td>AMNH 16273 (Tissue # 5274)</td>
<td>Singapore</td>
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<tr>
<td>Chelonus chonos</td>
<td>Characiformes (Chonidae)</td>
<td>AMNH 14353 (Tissue # 245)</td>
<td>Taiwan</td>
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<tr>
<td>Gymnocyclus abissus</td>
<td>Characiformes (Gymnotiformes)</td>
<td>AMNH 253966 (AMCC 23600)</td>
<td>Congo</td>
</tr>
<tr>
<td>Plotosus lineatus</td>
<td>Characiformes (Gymnotiformes)</td>
<td>AMNH 236985 (Tissue # 1-069-6895)</td>
<td>Democratic Republic of Congo</td>
</tr>
<tr>
<td>Apistogramma altiformis</td>
<td>Gymnotiformes (Apteronotidae)</td>
<td>MUSM 36939</td>
<td>Peru</td>
</tr>
<tr>
<td>Gymnotus carapo</td>
<td>Gymnotiformes (Gymnotidae)</td>
<td>MUSM 36939</td>
<td>Peru</td>
</tr>
<tr>
<td>Gymnotus cyanidulus</td>
<td>Gymnotiformes (Gymnotidae)</td>
<td>AMNH 14535 (Tissue # 1201)</td>
<td>Honduras</td>
</tr>
<tr>
<td>Brachyepomus occidentalis</td>
<td>Gymnotiformes (Hyppominae)</td>
<td>AMNH 14856 (Tissue # 1849)</td>
<td>Panama</td>
</tr>
<tr>
<td>Sternoptygus macrurus</td>
<td>Gymnotiformes (Sternoptygidae)</td>
<td>MUSM 39502</td>
<td>Peru</td>
</tr>
<tr>
<td>Sciaenidae</td>
<td>Siuriniformes (Ariidae)</td>
<td>AMNH 13605 (Tissue # 326)</td>
<td>Gulf of Mexico</td>
</tr>
<tr>
<td>Auchenoglanis occidentalis</td>
<td>Siuriniformes (Clariidae)</td>
<td>AMNH 14829 (Tissue # 1069)</td>
<td>Louisiana</td>
</tr>
<tr>
<td>Amoresius natalis</td>
<td>Siuriniformes (Actinidae)</td>
<td>AMNH 14829 (Tissue # 1069)</td>
<td>Panama</td>
</tr>
<tr>
<td>Hypoepomus anguizipus</td>
<td>Siuriniformes (Luciopidae)</td>
<td>AMNH 255559 (AMCC 220546)</td>
<td>Guinea</td>
</tr>
<tr>
<td>Maenopoma spinosetra</td>
<td>Siuriniformes (Malapteruridae)</td>
<td>AMNH 254414 (AMCC 228046)</td>
<td>Guinea</td>
</tr>
<tr>
<td>Synodonidius flamentosus</td>
<td>Siuriniformes (Moehholdidae)</td>
<td>AMNH 14158 (Tissue # 881)</td>
<td>Vietnam</td>
</tr>
<tr>
<td>Pangasius cf. pengusius</td>
<td>Siuriniformes (Pangasidae)</td>
<td>AMNH 13391 (Tissue # 94)</td>
<td>Taiwan</td>
</tr>
<tr>
<td>Potomia lineata</td>
<td>Siuriniformes (Plotosiidae)</td>
<td>AMNH 13391 (Tissue # 94)</td>
<td>Taiwan</td>
</tr>
</tbody>
</table>

Note: Links to GBIF (Global Biodiversity Information Facility) or other web records are included (when available) with catalog numbers when clicked in the “Voucher Catalog Number” column. Genetic data from these organisms are posted on NCBI GenBank (PRJNA363064) and we list voucher information there. **AMCC** = Ambrose Monell Cryo Collection. **AMNH** = American Museum of Natural History (New York, USA); **LSUMZ** = Louisiana State University Museum of Natural Science (Baton Rouge, USA); **MUSM** = Museu Universidade San Marcos (Lima, Peru).

*Outgroup taxa.*
Using a custom target enrichment bait kit from MYcroarray Inc. (Faircloth et al. 2012; McGee et al. 2016), a standard UCE enrichment protocol was followed (v1.4; http://ultraconserved.org). Hybridization reactions were run for 24 h at 65°C. Following hybridization we bound all pools to streptavidin beads (MyOne C1, Life Technologies) and washed bound libraries according to the protocol. We prepared DNA for post-enrichment recovery PCR following the standard (v2.4; http://ultraconserved.org) approach of dissociating enriched DNA from RNA baits bound to streptavidin-coated beads with 0.1 N NaOH, followed by a 5-min neutralization of NaOH using an equal volume of 1 M Tris-HCl, a 1X SPIR cleanup, and elution of the SPIR-purified sample in 30μL of ddH2O. We combined 15μL of clean, post-enrichment template DNA with 25μL HiFi HotStart Taq (Kapa Biosystems), 5μL of Illumina TruSeq primer mix (5μ L each), and 5μL of ddH2O. We ran PCR recovery of each library using the following thermal profile: 98°C for 45 s; 16–18 cycles of 98°C for 15 s, 60°C for 30 s, 72°C for 60 s; and a final extension 72°C for 5 min. Resulting reactions were purified using 1X SPIR, and enriched pools were rehydrated in 33μL of ddH2O. Using a Qubit fluorometer, 2μL of each enriched pool was quantified and diluted to 2.5 ng/μL for qPCR library quantification. Using the diluted DNA, we used a qPCR quantification kit (Kapa Biosystems) to quantify libraries, assuming an average library fragment length of 500 bp during our calculations. Based on the size-adjusted concentrations estimated by qPCR, we created an equimolar pool of libraries at 1 nM concentration, and we sequenced 9–10 pmol of each pool-of-pooled libraries using either (Supplementary Table S2, available on Dryad at http://dx.doi.org/10.5061/dryad.n9g60) paired-end, 250 bp sequencing on an Illumina MiSeq (v2; UCLA Genotyping Core Facility) or paired-end 100 bp sequencing on an Illumina HiSeq (v3; UCLA Neuroscience Genomics Core).

**Analysis of Captured UCE Data**

FASTQ data from Illumina BaseSpace were trimmed for adapter contamination and low-quality bases using a parallel wrapper (https://github.com/faircloth-lab/illumiprocessor) around Trimmomatic (Bolger et al. 2014). Following read trimming, summary statistics were computed on the data using get_fastq_stats.py from the PHYLUCE package. To assemble the cleaned reads, we generated separate data sets using parallel wrappers around Trinity [trinityrnaseq-r2013-02-25; assemblo_trinity.py] (Marçais and Kingsford 2011; Grabherr et al. 2011). We computed coverage across assembled contigs using a program (get_trinity_coverage.py) that realigns the trimmed sequence reads to each set of assembled contigs using BWA-MEM (Li 2013), cleans the resulting BAM files using PICARD (1.99; http://picard.sourceforge.net/), adds read-group (RG) information to each library using PICARD, indexes the resulting BAM file using SAMTOOLS (Li et al. 2009), and calculates coverage at each base of each assembled contig using GATK (2.72; McKenna et al. 2010; DePristo et al. 2011; Van der Auwera et al. 2013).

To identify assembled contigs representing enriched UCE loci from each species, we aligned species-specific contig assemblies to a FASTA file of all enrichment baits using match_contigs_to_loci.py. We created a file containing the names of 34 enriched taxa from which we collected data, as well as D. rerio, a genome-enabled fish, and we input this list to an additional program (get_match_counts.py) that queries the relational database created by matching baits to assembled contigs, as well as a relational database containing UCE match data for genome-enabled taxa (http://github.com/faircloth-lab/uce-data-sets), to generate a list of UCE loci shared among all taxa. We then used this list with an additional program (get_gblocks_trimmed_alignments_from_untrimmed.py). From these trimmed alignments, we created two separate data sets (get_only_loci_with_min_taxa.py) representing different subsets of alignments: one representing different subsets of alignments: one subset that was 75% complete (each alignment contained data from a minimum of 26 taxa), and another that was 50% complete (each alignment contained data from a minimum of 17 taxa). For each data set, we generated alignment statistics and computed the number of informative sites across all alignments using get_align_summary_data.py and get_informative_sites.py. We also computed the number of alignment patterns in each concatenated alignment using RAXML.

The UCE data sets were concatenated into separate PHYLIP-formatted supermatrices (format_nexus_files_for_raxml.py), and we determined the best-fitting partitioning scheme and nucleotide substitution models for the concatenated loci using PartitionFinder (Lanfear et al. 2012) with the hclust (Lanfear et al. 2014) search scheme. We evaluated models for RAXML (only) and selected among candidate partitioning schemes using PartitionFinder.
schemes using BIC. We used the hcluster partitioning method due to the number of loci in the data matrix, which renders traditional partitioning approaches computationally intractable (Lanfear et al. 2014). A Bayesian phylogenetic tree was also inferred for each UCE data subset using MrBayes. The PHYLIP data were converted to NEXUS format, and we used the scheme estimated by PartitionFinder to partition the data and apply a GTRGAMMA substitution model to calculate, for each pair of parent–child internodes, the length of the branch in the species tree that has a descendant internal branch y. If the length of the descendant internal branch y is shorter than $a(x)$, then the species tree is in the anomaly zone. According to this relationship, the probability of having an anomalous gene tree increases exponentially as the branch length $x$ gets shorter. This calculation was conducted on the median values of internal branch lengths estimated by ASTRAL-II in coalescent units.

**UCEs + Morphology**

The UCE data described above was combined with the morphological data from Fink and Fink (1981, 1996) to create a combined UCE+morphology data set. A phylogeny was inferred from these combined data using RAxML, the partitioning scheme output by PartitionFinder and the BINGamma model for the UCE data, and the BINGamma model for the morphological data. Twenty ML searches were conducted for the phylogenetic tree that best fit the data, and we assessed support for these relationships by generating and analyzing nonparametric bootstrap replicates of the input data using the autoMRE function of RAxML. Following convergence of the bootstrap replicates, we reconciled the best-fitting ML tree with the bootstrap replicates.

A Bayesian phylogenetic analysis of these combined data was conducted using MrBayes. The partitioning scheme described above was used for the UCE data, the character data were treated as standard, and we used the MLe model of character evolution, as we did for the morphology-only data analysis described above. MrBayes was run for $5 \times 10^6$ generations (four chains per run; burn-in: 50%; thinning = 500). Convergence was assessed using visualizations of the potential scale reduction factors and the average deviation of the split frequencies output by MrBayes. We also visually examined traces and ESS values for parameters using Tracer, and we computed the parameter estimates and the SD of split frequencies, post hoc, using the postProcParam and sdsf programs from the ExaBayes (v1.4.1) package (Aberer et al. 2014). We constructed majority-rule consensus trees and the posterior probabilities of nodes in the phylogeny using the sample of trees following burn-in and thinning.
Results

For an unrelated project, we used a fraction of our HiSeq run to generate more reads than normal from Gymnotus cylindricus (24 million reads). Excluding the large number of reads dedicated to G. cylindricus, sequencing (Supplementary Table S1, available on Dryad) produced an average of 755,927 reads per sample ($\pm$95 CI = 148,320) with 735,327 ($\pm$95 CI = 179,893) reads per sample on the MiSeq and 784,542 ($\pm$95 CI = 263,920) reads per sample on the HiSeq (Supplementary Table S1, available on Dryad). After trimming adapter contamination and low-quality bases, reads averaged 93.3 bp ($\pm$95 CI = 0.8) for PE100 and 188.2 bp ($\pm$95 CI = 4.6) for PE250. These reads assembled into an average of 31,231 contigs ($\pm$95 CI = 14,784), and the number of contigs differed by platform/read length (Supplementary Table S2, available on Dryad). Among those samples from which we enriched UCE loci, we identified an average of 510.0 contigs ($\pm$95 CI = 33.6) representing unique UCE loci (Supplementary Table S3, available on Dryad). On average, these UCE contigs were 536.4 bp ($\pm$95 CI = 49.0; range: 201–1726 bp) in length, the average coverage per UCE locus was 23.7x ($\pm$95 CI = 4.3x), and the average number of reads on UCE targets was 24% ($\pm$95 CI = 8%; Supplementary Table S2, available on Dryad). We also identified and extracted 640 unique UCE loci from the genome sequence of D. rerio. The average number of taxa in each of these alignments was 26.3 ($\pm$95 CI = 0.4; range 17–35 taxa). The supermatrix we generated from these data contained 148,320 characters; 83,464 informative sites; and 69,765 site patterns. The 75% complete alignment remained a minimum of 17 taxa per alignment and 567 loci within the data set. Alignments within the 50% complete data set had an average, post-trimming length of 257.3 bp ($\pm$95 CI = 6.57; range 104–563 bp). The average number of taxa in each of these alignments was 26.3 ($\pm$95 CI = 0.4; range 17–35 taxa). The supermatrix we generated from these data contained 148,320 characters; 83,464 informative sites; and 69,765 site patterns. The 75% complete alignment remained a minimum of 26 taxa per alignment and 335 loci within the data set. Alignments within the 50% complete data set had an average, post-trimming length of 257.3 bp ($\pm$95 CI = 6.57; range 104–563 bp). The average number of taxa in each of these alignments was 29.3 ($\pm$95 CI = 0.21; range 26–35 taxa). The supermatrix we generated from these data contained 95,274 characters; 26,555 informative sites; and 44,643 site patterns.

Phylogenetic Relationships of Ostariophyan Lineages

A summary of our phylogenetic results is depicted in Figure 3a–c, with a focus on the portion of the trees that were most incongruent between analyses: the relationships of Characiphysi (Gymnotiformes, Siluriformes, and Characiformes).

Morphology

Tree topologies inferred from analysis of morphological data from Fink and Fink (1981, 1996) are identical using Bayesian and ML methods (Supplementary Fig. S1, available on Dryad); all nodes in the phylogeny are supported with bootstrap support values of 100 and Bayesian posterior probabilities of > 0.99. This phylogeny is also identical to ordinal relationships resolved based on parsimony analyses by Fink and Fink (1981, 1996; Fig. 1) where Gonorynchiformes is the sister clade to Otophysi (Cypriniformes + (Characiformes + Siluriformes + Gymnotiformes)). The morphology-based phylogenetic results are notable for representing the only time our analyses recovered a monophyletic Characiformes as the sister lineage of a clade containing reciprocally monophyletic Gymnotiformes and Siluriformes (Fink and Fink 1981; Fig. 3b).

UCEs

RAxML bootstrapping under autoMRE settings for the 50% complete data set converged after 50 bootstrap replicates for both partitioned and unpartitioned analyses, and RAxML bootstrapping under autoMRE for the 75% complete data converged after 100 bootstrap replicates. Bayesian analyses of the partitioned, 50% complete data set reached convergence (ADSF 0.3%; average PSRF = 1.0; $\pm$95 CI PSRF = 0.0007; average ESS = 2338.6; $\pm$95 CI ESS = 114.3) after 5,000,000 iterations while Bayesian analysis of the partitioned 75% complete data set (ADSF 0.1%; average PSRF = 1.0; $\pm$95 CI PSRF = 0.0003; average ESS = 2250.5; $\pm$95 CI ESS = 134.02) reached convergence after 2.6 million iterations. The PSRF was $\leq$1.05 for 95% of the variables, and an average SD of splits $< 0.01$ were taken as thresholds for convergence.

We ran 50% complete UCE data sets using ML with no partitioning of loci (Supplementary Fig. S2, available on Dryad) as well as partitioned Bayesian (Supplementary Fig. S3, available on Dryad) and ML (Supplementary Fig. S4, available on Dryad) analyses. Partitioning versus nonpartitioning in ML analyses for the 50% complete UCE data set resulted in identical topologies and little change in bootstrap support for nodes having low support. We recovered Characiformes as paraphyletic in all 50% complete Bayesian and ML analyses: Citharinoidae is the sister group to a clade composed of Characoidei + Siluriformes. Gymnotiformes is the sister group to all other Characiphysi.

The concatenated ML (partitioned and not partitioned) and Bayesian UCE 75% complete phylogenies (Supplementary Figs. S5 and S6, available on Dryad) had identical topologies and recovered the outgroup Clupeiformes as the sister group.
of a monophyletic Ostariophysi. We recovered a monophyletic Gonorynchiformes as the sister lineage of the remaining Otophysi and a monophyletic Cypriniformes as the sister group of the remaining Otophysi, matching the recovered relationships of Fink and Fink (1981) (Fig. 1). However, the relationships recovered within Characiphysi by the ML and Bayesian 75% complete UCE concatenated analyses are novel. We recovered each of the characiform suborders as monophyletic but not as each other’s closest relatives, specifically, we recovered the Citharinodei is resolved as the sister clade of the Gymnotiformes, and the Characoidei as the sister group of the Siluriformes.

**UCE Multispecies Coalescent Approach**

Species tree analysis of the 50% and 75% complete UCE data sets produced identical topologies with the exception of Sternoptygus macrurus being recovered as the sister group to Apteronotus albifrons in the 50% complete species tree (Supplementary Fig. S7, available on Dryad) versus the sister group to the remaining Gymnotiformes in the 75% species tree (Fig. 4). Bootstrap values were largely the same across the two trees. Both species tree analyses recovered a non-monophyletic Characiformes due to the placement of Characoidei as the sister lineage of Siluriformes, and Citharinodei being the sister group of that clade (Characoidei + Siluriformes).

We found four internodes in three regions of the species trees that potentially are in the anomaly zone. In these internodes, the descending branches were shorter than the limit of the anomaly zone as determined by \( a(x) \). The internodes with lengths likely to produce anomalous gene trees were the same for both the 50% and the 75% complete species tree (shown with dashed lines in Fig. 4 and Supplementary Fig. S7, available on Dryad); the internode between the crown node of Gymnotiformes and the common ancestor with the rest of Characiphysi, the internode leading to the Characoidei, and the two deepest internodes within the Siluriformes.

**UCEs + Morphology**

RAxML bootstrapping under autoMRE settings for the 50% complete data set plus morphological data from Fink and Fink (1981, 1996) converged after 50 bootstrap replicates for both partitioned and unpartitioned analyses, and RAxML bootstrapping under autoMRE for the 75% complete data converged after 150 bootstrap replicates for the partitioned data and 100 bootstrap replicates for the unpartitioned data. Bayesian analyses of the partitioned, 50% produced identical topologies with the exception of Sternoptygus macrurus being recovered as the sister group to Apteronotus albifrons in the 50% complete species tree (Supplementary Fig. S7, available on Dryad) versus the sister group to the remaining Gymnotiformes in the 75% species tree (Fig. 4). Bootstrap values were largely the same across the two trees. Both species tree analyses recovered a non-monophyletic Characiformes due to the placement of Characoidei as the sister lineage of Siluriformes, and Citharinodei being the sister group of that clade (Characoidei + Siluriformes).

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**DIR*E + Morphology**

RAxML bootstrapping under autoMRE settings for the 50% complete data set plus morphological data from Fink and Fink (1981, 1996) converged after 50 bootstrap replicates for both partitioned and unpartitioned analyses, and RAxML bootstrapping under autoMRE for the 75% complete data converged after 150 bootstrap replicates for the partitioned data and 100 bootstrap replicates for the unpartitioned data. Bayesian analyses of the partitioned, 50% complete data set reached convergence (ADSF 0.04%; average PSRF = 1.0; ±95 CI PSRF = 0.0004; average ESS = 1570.4; ±95 CI ESS = 74.7) after 5,000,000 iterations and Bayesian analysis of the partitioned 75% complete data set (ADSF 0.03%; average PSRF = 1.0; ±95 CI PSRF = 0.0001; average ESS = 4430.7; ±95 CI ESS = 249.5) reached convergence after 5,000,000 iterations.

The 50% complete UCE + morphology data recovered the same topology with both Bayesian (Supplementary Fig. S8, available on Dryad) and ML analyses (Supplementary Fig. S9, available on Dryad) and the addition of the morphological characters did not change the topology from the results inferred from the 50% complete UCE data alone (Fig. 3a). Support values across approaches were similar.
The 75% complete UCE + morphology data recovered the same topology for both Bayesian (Supplementary Fig. S10, available on Dryad) and ML analyses (Supplementary Fig. S11, available on Dryad) and again the addition of the morphological characters did not change the topology. Support values across approaches were similar. The ML and Bayesian 75% complete UCE topology (with or without morphology) continued to support a unique result compared with all other analyses of other data sets examined for Ostariophysi (Fig. 3c).

All SH tests for the 50% complete UCE data set (with and without morphology) showed that a topology with a monophyletic Characiformes was significantly worse ($P < 0.01$) than the recovered phylogenies. For the 75% complete UCE data set with morphology, the SH test was not significant; however, it was significant at $P < 0.05$ without morphology.

**DISCUSSION**

Our examination of ostariophysan relationships using UCEs and morphology recovered the species-poor clade Gonorynchiformes as the sister group to all remaining ostariophysans (collectively Otophyi), and Cypriniformes as the sister lineage to all other Otophyi (collectively Characiformes). As with past studies, our analyses of different data sets show that a consensus for the relationships within Characiphysi (which include Characiformes, Siluriformes, and Gymnotiformes; Fig. 1) remains elusive. However, our phylogenetic analyses using UCE loci (with or without morphology) consistently fail to resolve Characiformes as monophyletic. Using a coalescent approach, we identified several internodes as potentially being in the anomaly zone (highlighted in Fig. 4 and Supplementary Fig. S7 available on Dryad), and likely the root cause of discordance between the results from our coalescent and concatenated methods.

**Resolution of Ostariophysan Relationships: The Conflict Continues**

Depending on analytical method or data set used, our results either mirrored or suggested new potential relationships to the conflicting variety of proposed relationships among major groups of ostariophysans (Figs. 2 and 3). For example, analyses based on the concatenated 50% complete UCE data set (with and without morphology) or the multispecies coalescent approach (with both 50% and 75% complete UCE data sets) recover a paraphyletic Characiformes, with the Citharinoidae as the sister group to Characoidae +...
Siluriformes (Fig. 3a). This topology is consistent with most recent studies that have included reasonable taxon sampling (Nakatani et al. 2011; Chen et al. 2013; but see Arcila et al. 2017). In contrast, the phylogeny inferred from the concatenated 75% complete UCE data set (with or without morphology) suggests a novel pattern of higher level relationships that supports Siluriformes as the sister group of Characoidi and Citharinoidi as the sister group of Gymnotiformi (Fig. 3c). This topology has not been previously recovered.

A potential explanation for this novel topology is the symmetrical arrangement of the major clades of Characiformes separated by a short internal branch. This arrangement is a hallmark of an anomaly zone (Rosenberg 2013; Linkem et al. 2016) as the probability of producing anomalous gene trees increases as parental branches x get shorter, and the limit of the anomaly zone a(x) approaches infinity (Degnan and Rosenberg 2006; Linkem et al. 2016). For a group as large as Ostariophysan, taxon sampling represents a particularly important consideration for resolution of nodes in anomaly zones. Previous studies have generally sampled relatively few taxa (~1% of the 10,000+ species); with members of Citharinoidi particularly poorly represented in most data matrices (something we tried to remedy with our sampling efforts). Although our sampling of species is similarly limited, our selection does include representatives of most major clades of both Citharinoidi and Characoidei. This distinction is important because sampling taxa that diverge near the node of interest, in this case the most recent common ancestor of Citharinoidei and Characoidei, is expected to produce the largest potential change in tree topology (Townsend and Lopez-Giraldez 2010).

Simply sampling additional taxa, all of which diverge far from the MRCA of Citharinoidei or Characoidei, is therefore unlikely to impact topological reconstruction concerning the monophyly of Characiformes (Townsend and Lopez-Giraldez 2010; Fig. 4). The pace of species discovery (both fossil and extant) in ostariophysan fishes remains high (Almirón et al. 2015; Arntz et al. 2015; Carvalho and Albert 2015), raising the possibility that additional deeply divergent lineages are yet to be discovered (Albert et al. 2011). Although this possibility remains speculative, such organisms would be incredibly valuable for resolving the evolutionary relationships of this clade with confidence.

Short, deep internodes are among the hardest phylogenetic problems (Townsend et al. 2012), and can present an exceptional challenge for genomic-scale phylogenetic analyses (Jeffroy et al. 2006; Philippe et al. 2011; Townsend 2012; Anisimova et al. 2013; Posada 2013; Romiguier et al. 2013; Eytan et al. 2015) as is reflected in our analyses of the different UCE data sets. For example, the difference between the 50% and 75% complete UCE data may be driven partially by heterogeneity in substitution rates, as the 75% complete data should have fewer rapidly evolving markers than the 50% complete data (which are less conserved). The 50% complete data set agreed with most of our reconstructions of the relationships of Characiphysi (Fig. 3a), the fact that the concatenated 75% complete ML and Bayesian analyses produce a novel result is notable. Unfortunately, there have been few studies investigating the behavior of UCE data (Romiguier et al. 2013) and a thorough investigation of this data set is outside the scope and aim of this study. Regardless of which factors underlie the conflict between data sets, our results are in agreement with the increasingly common conclusion that more data (particularly next-generation sequence data) does not always lead to more stable or robust conclusions (Rodriguez-Ezpeleta et al. 2007; Philippe et al. 2011; Eytan et al. 2015), suggesting we are still far from achieving definitive resolution of ostariophysans.

The recovery of Characiformes as non-monophyletic, both in the various forms presented here and in other independent studies, provides support for the legitimacy of this topological hypothesis; however, a recent study by Arcila et al. (2017) provides an alternative view. Using over 1500 exons, Arcila et al. (2017) recovered the traditional relationships presented in the morphological work of Fink and Fink (1981) using a new approach called gene genealogy interrogation (GGI). This approach which chooses the gene tree topologies that most frequently support one partially constrained tree (out of a set of alternatives). The argument for advancing the GGI method is that gene tree estimation error rather than incomplete lineage sorting is the dominant hurdle in studies of deep-time phylogenetic studies. While theory predicts gene tree estimation errors to be particularly problematic for small, deep internodes, the phylogenetic information content of slow-evolving neutral markers such as UCE’s have not been investigated. An expanded discussion about when it is appropriate to select among different phylogenetic signals when there is extensive “gene tree error” is a needed area of future research.

Issues of Orthology/Paralogy

Paralogy and orthology have long been central issues in molecular phylogenetics, and molecular data obtained from massively parallel sequencing has amplified this problem because many software programs intended to examine paralogy do not perform well with large data matrices (e.g. Bousseau et al. 2013). Our data selection criteria removed multiple copies of duplicate UCE loci to avoid using non-homologous loci in phylogenetic analyses. However, orthology/paralogy issues may affect next-generation sequencing studies, particularly when the taxonomic group under study has undergone whole genome duplication events, such as in teleost fishes (Christofides et al. 2004). In teleost fishes, such as ostariophysans, it is possible that we may be examining paralogous loci instead of homologous loci if only a single copy of a locus actually present in multiple copies is recovered during data processing. Assuming that this problem is widespread, and paralogous copies of a given locus are aligned in a matrix, this error could result in inaccurate phylogenetic reconstruction
(Eyтан et al. 2015). No current methods exist to determine if such problematic alignments of non-orthologous loci have been accidently included in an analysis of teleosts. For example, using a BLAST search of target loci against a known genome to indicate areas multiple loci may be prevalent (a technique used mainly in exon capture analyses—see Ilves and López-Fernández 2014) is potentially highly misleading given the few genomes available for Ostariophysans. Currently, only four reference genomes are available for the group (D. rerio, Astyanax mexicanus, E. electricus, and Ictalurus punctatus). However, considerable genomic diversity including repeated losses of entire gene families or duplications of individual loci are a common feature of teleost genome evolution (Star et al. 2011; Malmstrøm et al. 2016) that can mislead a detailed assessment of gene synteny. Our approach of deleting loci observed to have multiple variable copies is commonly used in phylogenomic pipelines (see also McCormack et al. 2013) and further investigation into the orthology/paralogy issue in organisms with duplicated genomes is an important avenue of research for future phylogenomic studies.

Molecules, Morphology, and Characiform Relationships

Assembling morphological evidence for phylogenetic relationships of species-rich and ancient clades such as those examined here is among the most arduous tasks in systematics (Wiley et al. 2011; Giribet 2015). Characiphysi, in particular, is a difficult group to study using phenotypic traits alone. This species-rich group includes all catfishes, knifefishes, characins, and citharinoids, encompassing exceptional phenotypic disparity and species diversity and dominating continental freshwater ecosystems across most of the globe. While Characiphys appears to have diverged rapidly prior to the final break-up of Gondwana during the Upper Cretaceous (Lundberg 1993; Buckup 1998; Malabarba and Malabarba 2010), known fossils provide few insights into the phylogenetic relationships of major characiphysan lineages (López-Fernández and Albert 2011). This is largely due to the fact that early members of Characiphys may have resembled the oldest known otophysan fossil Santantichthys dissi from the early Cretaceous, which closely resembles a generalized characiform in general body form (Fillieul and Maisey 2004). Despite this difficulty, the data set of Fink and Fink (1981) has been argued to provide strong support for ostariophysan relationships and remains highly regarded as one of the most comprehensive morphological data sets of its kind (Chen et al. 2013).

While strong signal from a morphological character matrix can change topological relationships in combined phylogenetic analyses (Nylander et al. 2004; Wiens et al. 2010), characiform monophyly is only recovered when analyzing the Fink and Fink (1981) morphological data set in the absence of molecular data (Fig. 3b). Notably, the only instance in which molecular data have ever recovered a monophyletic Characiformes is when this morphological data set (from Fink and Fink 1981) was also included (Dimmick and Larson 1996), when few (two) members of the characiform suborder Citharinoidi were included (Betancur-R et al. 2013), or when a data parsing approach was used (Vučetić et al. 2017). In contrast, all other molecular phylogenetic studies support the non-monophyly of characiforms (summarized in Figs. 2 and 3).

In our analyses, the addition of morphological characters to UCE data did not change the tree relative to topologies produced by UCE data analyzed alone (Supplementary Figs. S9–S12, available on Dryad). Because the impact of morphological characters in combined-data phylogenetic inferences is proportional to the relative information content of each data set, the sheer size of the UCE data sets (each including many hundreds of loci) are possibly numerically overwhelming the information content of the morphological data (Nylander et al. 2004). A separate parsimony analysis of the UCE + morphology (not shown) found that the tree constrained to recover a monophyletic Characiformes was 47 steps longer than the unconstrained tree (which recovered the same topology as that from the UCE data alone). This high number of additional steps needed to support characiform monophyly might explain why support values were relatively unchanged with the addition of morphological traits. However, it should be noted that the SH test results for the 75% complete UCE data set with morphological characters included did not show a significant difference between the likelihood score of the recovered ML phylogeny and the ML phylogeny which recovered a monophyletic Characiformes (all other SH test comparisons were significant), demonstrating the influence of morphological characters even in a phylogenomic analysis. Further, we argue that morphological data will become anything but obsolete with the widespread use of data-rich next-generation sequencing approaches (i.e., phylogenomics). For example, studies using fossil tip dating (Pyron 2011; Ronquist et al. 2012; Chen et al. 2015) to integrate extinct and extant species into a time-calibrated Tree of Life strongly illustrate the utility of morphological data in addition to large molecular data sets (Wood et al. 2013; Dornburg et al. 2015a, 2015b; Giribet 2015; Hsiang et al. 2015) suggesting an exciting frontier for ostariophysan phylogenetics.

The Evolutionary History of Electroreception

The phylogenetic results obtained here are consistent with several interpretations regarding the evolution of passive electroreception. One interpretation is that the many derived osteological, neurological, and physiologic traits shared by extant catfishes and gymnotiform knifefishes arose as convergent adaptations to nocturnal and benthic habitats. Indeed, a very similar form of passive electroreception evolved in a distantly related group of teleost fishes in the Old World, Notopteroidei (members of Osphoglossiformes), a clade that includes
Asian and African knifefishes (Notopteridae), and the exclusively African Mormyroidea.

The passive electrophysiological system of Notopteroidi resembles that of Siluriformes and Gymnotiformes in many aspects, including similar hair-cell electroreceptor organs, amphotically-shaped electroreceptor organs, lateral sensory pathways in the peripheral and central nervous system, and the embryological tissues giving rise to these electroreceptive structures (see reviews in Albert and Crampton 2005; Freitas et al. 2006). However, all these attributes are shared by other vertebrate lateral sensory systems, including the mecanosensory (vibration sensitive) lateral line systems present in all teleost fishes. In other words, these traits are perhaps best interpreted as plesiomorphic, rather than convergent (Finger et al. 1986).

The passive electroreceptive systems of Siluriformes and Gymnotiformes also share many additional and uniquely derived traits that distinguish them from both the notopteroid and the more general vertebrate passive electroreceptive systems. These traits include subtle but functionally important details of neural architecture, cytology, and physiology (Fink and Fink 1996; Albert et al. 1998). Therefore, although the passive electroreceptive systems of Siluriformes and Gymnotiformes may in fact be convergent, such a hypothesis would require substantially more evolutionary work than that of a single evolutionary transformation. Other interpretations are possible. The similar morphologies of Characoidei and Citharinoidi might represent instances of convergent evolution, or the retention of plesiomorphic traits of Characophysi. Resolution of these questions will require additional morphological study of both extant and fossil forms. Another interpretation may be that the morphology is providing the true signal of relationships of these fishes, and the molecular data are not. We cannot falsify this later statement, and without corroborative data about their evolutionary relationships we may never know the “true tree” of Ostariophysi. Although the failure of our phylogenomic data to unequivocally resolve this long-standing controversy in ichthyological systematics is frustrating, we are hopeful that this study and others will help to usher in a new age of discovery for the phenotypic traits needed to further our understanding of problematic regions on the tree of life.

**Supplementary Material**

Data available from the Dryad Digital Repository: http://dx.doi.org/10.5061/dryad.n9g60.

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**Data Availability**

UCE raw read and assembled contig data are available from the NCBI SRA and GenBank (PRJNA363064). PHYLUCE source code is available under an open source license from https://github.com/faircloth-lab/phylucel/

**References**


